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Dear industry partners,

PA Transformation Programme update

The Prudential Authority (PA) continues to work diligently to ensure that it meets its transformation objectives set for 2022.

Industry Data Taxonomy

The development of the insurance, cooperative financial institution (CFI) and market infrastructure (MI) data models has now been completed. This is in addition to the PA banking data model completed earlier in the year. All the data models have been published on the PA Transformation Programme webpage and can be accessed [here](#) under the Industry Data Taxonomy folder.

Showcases with respective industry partners are planned for July and August 2022 to illustrate how to read and interpret the models as well to share the next steps of the project. Click [here](#) to sign up to attend the showcases, invitations will be sent in due course.

Umoja Solution

The Umoja portal that has been implemented for Anti-money laundering/Combating the financing of terrorism (AML/CFT) **will be open for** local banks, foreign subsidiaries, and life insurers to submit their regulatory returns for quarter 2 on **Friday, 15 July 2022**.

The master return template for the gathering of data for input into the portal has been shared. The shared template is aligned to what you will be capturing on the Umoja portal from Friday, 15 July 2022

1. Submitting returns on the portal

There are two methods of submitting returns on the portal, namely:

- a. **Capturing collected return data on the actual system** as demonstrated during training.
- b. **XML upload** where you upload the completed return in the XML format and the return gets automatically populated in the system. This XML upload will be demonstrated in the training sessions as well as training material that will be shared.

Attached is the schema that defines the rules that the XML needs to adhere to has been attached, it is used to validate the XML file. Please note that you will require assistance from your IT department to use the schema to design the automated

return. This will assist you in preparing for the use of this option from Friday, 15 July 2022 when the system opens,

2. Umoja portal training

The PA will host a training session on the Umoja portal on Tuesday, 5 July 2022 from 09:00 – 13:00. This session is meant for institutions that missed the initial training that took place between 6 and 8 June 2022. Invitations to this training have been sent directly to the individuals whose names were provided by the institutions.

Additional refresher training sessions are also available.

Option 1: Wednesday, 6 July 2022 – 09:30 – 11:00 ([Click here to join the meeting](#))

Option 2: Thursday, 7 July 2022 – 09:30 – 11:00 ([Click here to join the meeting](#))

Training material can be found [here](#). This material has been updated with the XML automatic upload option.

3. Submitting names of portal users

Each institution was requested to provide the PA with a maximum of two names of portal users who will be responsible for capturing and submitting the return on its behalf. If you missed the deadline and have not provided your nominations, please urgently [Click here to submit the names](#) . Login details to the portal will be sent directly to these users on 14 July 2022.

Important reminder - update your details

To ensure that the communication from the PA Transformation Programme reaches the right stakeholders at the right time, please provide us with your information by clicking [here](#). Please forward this link to any relevant contacts in your organisation that might benefit from receiving PA Transformation related communication.

If you have any questions regarding the Programme, please contact the PA at pa-transformation@resbank.co.za.

Questions on the AML/CFT returns should be directed to:

Local Banks localcalbank.riskreturn@resbank.co.za

Banking Subsidiary AMLCFTreturn@resbank.co.za

Life Insurance Insurancesurvey2@resbank.co.za

Regards,
PA Transformation Programme

Date: 30 June 2022