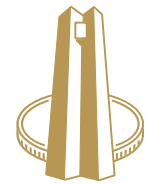


**PART 1**  
**TENDER DOCUMENT**

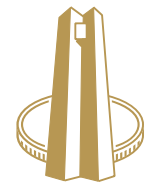
REQUEST FOR PROPOSAL	
<b>RFP no.</b>	5023314
<b>Description</b>	Provision for Server Administration Services for a period of three (3) years with an option to renew for two years for the South African Reserve Bank.
<b>Issue date</b>	05 July 2026
<b>Closing date and time</b>	03 August 2026 @14:00pm  <b>*Warning:</b> Suppliers should allow for at least 4 to 6 hours (depending on the volume and size of the documents) to upload their responses to this RFP to the SARB's e-Sourcing portal, prior to the closing time, as the system will prevent the submission of any documentation via the portal after the specified closing time.
<b>Validity period</b>	120 days

TENDER ISSUED BY		
<b>Institution</b>	South African Reserve Bank (SARB)	
<b>Address</b>	370 Helen Joseph Street, Pretoria	
<b>Employer</b> (hereinafter collectively referred to as the SARB)	South African Reserve Bank	<input checked="" type="checkbox"/>
	South African Mint Company	<input type="checkbox"/>
	South African Bank Note Company	<input type="checkbox"/>
<b>Acquiring department</b>	Business Solutions Technology Department	
<b>Delivery/service address</b>	370 Helen Joseph Street Pretoria 0001	



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## **SECTION 1**

### **INTRODUCTION**

#### **1. Key functions of the South African Reserve Bank**

The South African Reserve Bank (SARB) is the central bank of the Republic of South Africa. The SARB was established by section 9 of the Currency and Banking Act 31 of 1920 and is governed by the South African Reserve Bank Act 90 of 1989, as amended (SARB Act). The SARB has three cash centres located in Johannesburg, Durban and Cape Town. The SARB also has four subsidiaries, namely the South African Mint Company (RF) Proprietary Limited (South African Mint), which manufactures coins on behalf of the SARB; the South African Bank Note Company (RF) Proprietary Limited (SABN), which manufactures banknotes on behalf of the SARB; and the Corporation for Public Deposits (CPD), which accepts all public deposits on behalf of the SARB. Together with the South African Reserve Bank Retirement Fund (SARBRF) the aforesaid institutions are collectively known as the SARB Group.

The SARB is required to achieve and maintain price stability in the interest of balanced and sustainable economic growth in South Africa. The achievement of price stability is quantified by the setting of an inflation target by government that serves as a yardstick against which price stability is measured. The achievement of price stability is underpinned by the stability of the financial system and financial markets. For this reason, the SARB is obliged to actively promote financial stability as one of the important determinants of financial system stability. The SARB has been entrusted with the overarching monetary policy goal of containing inflation and is ultimately accountable to Parliament.

Sections 223 to 225 of the Constitution of the Republic of South Africa 1996 (Constitution), the SARB Act, and the regulations framed in terms of the SARB Act provide the enabling framework for the SARB's operations. The independence and autonomy of the SARB are entrenched in the Constitution. In terms of section 224 of the Constitution, "*the South African Reserve Bank, in pursuit of its primary object, must perform its functions independently and without fear, favour or prejudice, but there must be regular consultation between the Bank and the Cabinet member responsible for national financial matters.*" The SARB thus has a considerable degree of autonomy in the execution of its duties.

## **2. Values of the SARB**

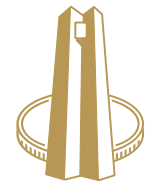
The SARB strives to function efficiently and effectively, and promotes an ethical environment based on a number of common organisational values. These values include:

- respect and trust;
- open communication;
- integrity;
- accountability; and
- excellence.

## **3. Procurement framework**

The SARB is not subject to generally applicable public procurement legislation. The SARB's procurement processes are regulated in terms of its Group Procurement and Supplier Management Policy (Procurement Policy). The SARB's Procurement Policy provides for the application of best procurement practices taking into account the procurement principles entrenched in the Constitution as well as legislation relating to preferential procurement.

The SARB's procurement processes are further subject to generally applicable law such as the Promotion of Access to Information Act 2 of 2000 (PAIA), the Promotion of Administrative Justice Act 3 of 2000 (PAJA) and the Protection of Personal Information Act 4 of 2013 (POPIA).



## SECTION 2

### OVERVIEW OF THIS RFP

#### 1. Invitation to suppliers

The SARB hereby invites suppliers to submit proposals for the delivery of the goods or rendering of the services to the SARB as described on the cover page, in accordance with the requirements set out in this Request for Proposal (RFP).

#### 2. Structure of the RFP

SECTION	DESCRIPTION	INCLUDED
1.	Introduction	[ Yes ]
2.	Overview of this RFP	[ Yes ]
3.	Scope of tender	[ Refer to annexure 3 ]
4.	Business requirements/terms of reference	[ Refer to annexure 3 ]
5.	Specifications	[ Refer to annexure 3 ]
6.	<b>Requirements for tender responses</b>	
	Part A: Administrative requirements	[ Yes ]
	Part B: Mandatory requirements	[ Yes ]
	Part C: Technical proposal requirements	[ Refer to annexure 3 ]
	Part D: Commercial proposal requirements	[ Yes ]
	Part E: Information security and privacy risk requirements	[ No ]
7.	Evaluation criteria	[ Refer to annexure 4 ]
8.	Tender evaluation methodology	[ Yes ]

9.	Tender terms and conditions	Yes
10.	<b>Annexures</b>	
	Annexure 1: Group project security management and personnel security vetting process applicable to all SARB suppliers	Yes
	Annexure 2: 3 <sup>rd</sup> Party Risk Assessment	Yes
	Annexure 3: Standard contract template – <i>Service level agreement.</i>	Yes
	Annexure 4: Tender response template	Yes
	Annexure 5: Technical evaluation criteria	Yes
	Annexure 6: ReadMe about Servers Backups and Disaster Recovery Services	Yes
	Annexure 7: Pricing template	Yes

### 3. Timeline for this RFP process

3.1. The table below lists key events, dates and periods applicable to this RFP process.

NO.	DESCRIPTION	DATE/ PERIOD
1.	Publication date of RFP on e-Sourcing portal and/or in the newspaper	05 July 2026
2.	Deadline for registration of suppliers to attend the briefing session	
3.	Briefing session Date and time:   10 July 2026 @ 10:00am	Compulsory
		Yes    No
		X
4.	Deadline for requests by prospective suppliers for clarification and/or additional information	15 July 2026
5.	Cut-off date for the SARB's response to suppliers' requests for clarification and/or additional information	23 July 2026
6.	Closing date and time for submission of RFP responses	03 August 2026 @14:00pm
7.	Required validity period for suppliers' proposals	120 days
8.	Evaluation period for suppliers' responses (estimate)	
9.	Date for notification to preferred supplier, reserve supplier if applicable and unsuccessful suppliers (estimate)	
10.	Vetting of preferred supplier's employees	
11.	Contract negotiation and finalisation (estimate)	

12.	Target date for commencement of the contract	
13.	Duration of this contract	Three years
14.	Option to renew	Yes

3.2. All dates and times in this RFP are based on South African Standard Time (GMT+2). The dates and times stipulated in this RFP are subject to change at the SARB's sole discretion. Any such changes will be communicated by the SARB to suppliers using the same channel used to publish this RFP. These dates and times do not create an obligation on the part of the SARB to take any action or create any right for a supplier to demand that the SARB execute a certain action on a specific date at a certain time.

#### 4. Submission of a response to this RFP

##### 4.1. *Intention to respond*

Every supplier that intends to respond to this RFP should indicate its Intention to Respond through the SARB's e-Sourcing portal by the due date specified in **paragraph 3 above**.

##### 4.2. *Submission via the e-Sourcing portal*

Suppliers must submit their responses to this RFP via the SARB's e-Sourcing portal. In the event that unforeseen circumstances prevent a supplier from accessing the SARB's e-Sourcing portal, the supplier must request written permission via the following mailbox to submit its response via email: [Serveradministration@resbank.co.za](mailto:Serveradministration@resbank.co.za) . Such requests must be submitted timeously (i.e. well before the closing date and time for RFP responses) and be accompanied by supporting documentation (e.g. screen shots of system errors received).

##### 4.3. *Late tenders*

Suppliers must submit their proposals to the SARB prior to the stipulated closing date and time of the RFP. Late RFPs will only be considered in exceptional circumstances.

#### 4.4. *Protection of personal information*

The SARB is committed to protecting any personal information collected from the supplier for the purpose of facilitating this RFP, and it will process such information in accordance with the prescripts contained in POPIA, read together with the SARB's privacy notice which is available at: [SARB Privacy Notice](#).

By submitting a response to this RFP, the supplier consents to the processing of any personal information contained in its proposal by the SARB for purposes of facilitating the RFP process and the subsequent conclusion of a contract. It is the responsibility of the supplier to obtain the necessary consent from any data subjects whose personal information will be shared by the supplier with the SARB. Failure by the supplier to provide the requisite personal information due to a data subject's refusal to provide the necessary consent, especially in instances where such information is vital to enable the SARB to conduct a proper evaluation of the supplier's proposal, may have an adverse effect on the supplier's final score.

#### 4.5. *Submission of RFP response documents*

All responses and supporting documentation must be submitted in English via the SARB's e-Sourcing portal. Suppliers should submit their pricing proposals in both a Portable Document Format (PDF) and an editable format (e.g. MS Excel or MS Word). All templates/forms included in this RFP must be populated, unless otherwise indicated. The SARB reserves the right to disqualify suppliers who do not complete the templates/forms in full. Suppliers are instructed to adhere strictly to the numbering used in this RFP to facilitate ease of evaluation.

RFP responses must be organised and submitted according to the filing system and in the chronology set out below:

Order for submission of documents	Returnable documents	Notes
Section 6: Part A File 1	Administrative requirements	
Section 6: Part B File 2	Mandatory requirements	
Section 6: Part C File 3	Technical proposal requirements	Refer to annexure 3
Section 6: Part D File 4	Pricing proposal requirements	
Contract documentation File 6	Contract mark-ups to SARB standard Agreement	Suppliers must submit their mark-ups in the format prescribed in Part 2: Returnables

#### 4.6. *Material deficiencies*

Suppliers must supply all relevant information. Material deficiencies in a supplier's proposal may result in the SARB rejecting the supplier's proposal as non-responsive. Suppliers are responsible for checking that all necessary documents required in terms of this RFP have been submitted. The SARB is under no obligation to draw the attention of a supplier to any missing documents and/or defects in its proposal.

#### 4.7. *Signing of documents*

The following documents must be initialled on every page and signed in full by a duly authorised representative of the supplier, before submission to the SARB:

- **SRT 4:** Supplier declaration;
- **SRT 9:** Undertaking of confidentiality; and
- **SRT 12:** Pricing.

The signatory's authority to sign the documents must be evident from supporting documentation such as a copy of a board resolution, duly authorising the signatory to sign the documents on behalf of the supplier. The SARB may hold

the signatory personally liable in the event that such person is not duly authorised by the supplier.

#### 4.8. *Key personnel*

Where suppliers propose key personnel for purposes of this RFP, it is the supplier's responsibility to ensure that such personnel are appropriately skilled and experienced, and that they have proven, extensive and stable track records with the supplier.

#### 4.9. *Validity period*

Suppliers' proposals must remain valid from the closing date of this RFP for the full period prescribed in **paragraph 3 above**. The SARB may extend the tender validity period at its sole discretion prior to its expiry, in which event suppliers must agree to the extension in writing. Any failure by a supplier to agree to such extension will render the supplier's proposal non-responsive. Suppliers may only adjust their pricing if expressly permitted to do so by the SARB in terms of the extension notice, and provided that justifiable reasons are provided for such price increase(s), accompanied by supporting documentation (e.g. price increase letters from third-party suppliers).

#### 4.10. *Rejection of proposals*

Suppliers must note that this RFP does not commit the SARB to any course of action resulting from the receipt of proposals and the SARB may, at its discretion, reject any submission which does not conform to the instructions and specifications contained herein.

#### 4.11. *No contract*

Nothing in this RFP should be construed as a contract between the SARB and the supplier, and no communication, whether verbal or written, by any of the SARB's personnel or agents during the course of this RFP process, will create such a contract in respect of this RFP.

#### 4.12. *Ethical conduct*

The SARB requires that suppliers observe the highest standards of ethics during this RFP process.

#### 4.13. *Due diligence checks*

The SARB may conduct any further due diligence checks it deems fit on participating suppliers and their designated subcontractors and/or partners prior to the award of any contract pursuant to this RFP. In such an event, suppliers are obliged to ensure they cooperate fully with any request from the SARB to supply additional information.

#### 4.14. *SARB obligations*

The supplier acknowledges the importance for the SARB to get the most out of the proposed offer within the available budget, including being advised of the inputs required from the SARB to ensure the satisfactory implementation of the proposal.

#### 4.15. *Costs*

All costs incurred during the preparation and compilation of a supplier's proposal will be borne exclusively by the supplier, unless otherwise prescribed by applicable law or a relevant professional body.

#### 4.16. *Notification of the outcome*

The SARB will notify all participating suppliers regarding the outcome of this RFP and inform them whether they have been successful or not, within the timelines set out in **paragraph 3 above**, as such timelines may be amended by the SARB in its discretion.

### **5. Interpretation of this RFP**

#### 5.1. *Clarification requests by suppliers*

Suppliers may request clarification and/or additional information for purposes of preparing a response to this RFP, only through the Online Discussion functionality available on the SARB's e-Sourcing portal. Requests for clarification and/or additional information must be submitted by close of business on the date indicated in **paragraph 3 above**.

## 5.2. *Use of the online discussion forum*

The SARB will respond to suppliers' requests for clarification and/or additional information using the Online Discussion functionality available on the SARB's e-Sourcing portal by no later than the close of business on the date specified in **paragraph 3 above**. Suppliers must note that additional information will be provided at the SARB's discretion.

## 5.3. *Communication protocol*

Suppliers must refrain from contacting any of the SARB's personnel regarding this RFP, other than in the circumstances provided for in **paragraph 3 above**. All communication must be exchanged via the SARB's e-Sourcing portal using the Online Discussion functionality.

## 5.4. *Use of specific verbs*

In this RFP document the terms 'will' and 'must' indicate a mandatory requirement. The terms 'should' or 'may' indicate desirable or recommended requirements. Compliance with desirable or recommended requirements may lead to a supplier obtaining a higher score.

# 6. **Evaluation of responses to this RFP**

## 6.1. *Non-responsive bids*

Suppliers must study all documentation that form part of this RFP and supply all relevant information. Proposals that contain material deficiencies and do not respond to critical aspects of the scope, business requirements, specifications and/or terms of reference (whichever is applicable), or that fail to achieve the minimum score in respect of any set threshold (where applicable), will result in the supplier's proposal being regarded as non-responsive.

## 6.2. *Evaluation by a cross-functional team*

Suppliers' proposals will be evaluated by a cross-functional team (CFT) selected specifically for purposes of facilitating the RFP.

## 6.3. *Administrative and mandatory requirements*

Proposals received from suppliers who fail to comply with the SARB's administrative and mandatory requirements set out in **section 6** will not be evaluated further subject to the SARB's right, in its sole discretion, to:

- condone non-compliance by a supplier with any administrative or mandatory requirement;
- waive any mandatory requirement; or
- evaluate the supplier's technical and commercial proposals notwithstanding non-compliance with such a requirement, and make a conditional award to a supplier.

Where the SARB elects to condone the non-compliance by a supplier with any administrative or mandatory requirement, the SARB may allow the supplier an opportunity to remedy its oversight/failure to comply within five (5) calendar days of the supplier being notified by the SARB of its oversight/failure. Under no circumstances, however, will suppliers be allowed to make any material amendments or materially supplement their proposals after the stipulated closing date and time.

The SARB may waive a mandatory requirement in the event that:

- no suppliers are able to meet the SARB's requirements; or
- the procurement process is not competitive in that an inadequate number of suppliers have qualified (by meeting all administrative and mandatory requirements) to move on to the technical and commercial evaluation phase.

Where a conditional award has been made, the SARB will have the right to withdraw the award, should it become apparent that the process of post-award compliance with administrative or mandatory requirements is causing an unreasonably long delay in the finalisation of contract award and/or commencement of the services and/or delivery of the goods. In the event that the SARB withdraws a conditional award, it reserves the right to appoint the reserve supplier.

#### 6.4. *Technical capability*

A qualifying supplier's technical capability will be evaluated based on the level of compliance of its proposal with the SARB's requirements set out in **section 6**, read together with the SARB's technical evaluation criteria outlined in **section 7**.

#### 6.5. *Clarification requested by the SARB*

The SARB may, at any time during the RFP process, seek clarification from suppliers who submitted a proposal in response to this RFP. Such clarification may be sought in the form of written questions submitted by the SARB to suppliers regarding certain aspects of their proposals or, alternatively, in the form of a request that suppliers orally present their proposals to the SARB in order to allow the SARB the opportunity to seek clarification. Where the SARB elects to conduct an oral clarification session, the SARB will first evaluate and allocate interim scores to suppliers' technical proposals. The SARB will finalise such suppliers' scores after conducting the oral clarification session. Under no circumstances will a supplier be permitted to amplify its proposal when responding to written clarification questions, or during any oral clarification session.

#### 6.6. *Shortlisting of suppliers*

If the SARB intends to shortlist suppliers after evaluation of their technical proposals in order to conduct further evaluations of such suppliers' technical capability, such intention will be specified in **section 7**. Further evaluations may either be conducted for the purpose of verifying information provided by the supplier in its technical proposal, or for purposes of conducting an additional round of scoring of the supplier's technical capability. The basis for the shortlisting of suppliers as well as the nature of the further evaluations to be conducted (if applicable) will be set out in **section 7**. In order to accommodate shortlisting, interim scores will be allocated to suppliers after the evaluation of their technical proposals. Final technical scores will be allocated to suppliers after further evaluations, as provided for in **section 7**, have been conducted.

#### 6.7. *Further evaluations*

Where further evaluations are conducted for the purpose of verifying information provided by a supplier in its technical proposal, the SARB may adjust a supplier's

interim scores upwards or downwards pursuant to the outcome of such further evaluations. Where the further evaluations indicate any discrepancy with any part of a supplier's technical proposal, the SARB will notify the supplier accordingly and afford the supplier an opportunity to make representations to the SARB prior to the SARB allocating a final technical score to the supplier. Where further evaluations are conducted for the purpose of an additional round of scoring, the technical evaluation criteria for such further evaluations will be as set out in **section 7**.

#### 6.8. *Commercial evaluation*

After completion of the technical evaluation and shortlisting of all qualifying proposals for further evaluation (where applicable), those proposals which qualify for commercial evaluation (i.e. price and B-BBEE) will be evaluated and scored. If the SARB intends to shortlist suppliers for purposes of commercial evaluation without conducting any further evaluations, such intention will be specified in **section 7**.

Where the RFP is issued for purposes of establishing a Panel of Service Providers (Panel), the SARB may opt to conduct commercial evaluations only when the need arises to utilise the Panel.

#### 6.9. *Tender evaluation methodology*

The tender evaluation methodology and weightings that will be used for purposes of evaluating suppliers' proposals submitted in response to this RFP are set out in **section 8**.

#### 6.10. *Information security and privacy risk assessments*

It is important that the SARB determines the level of information risk exposure a successful supplier may pose to the SARB. In order to determine such a risk exposure level, suppliers must refer to **SRT 13** and follow the instructions for completion of the 3<sup>rd</sup> Party Risk Assessment Questionnaire attached as **Annexure 2**. Where the SARB determines the level of information risk exposure posed by the highest scoring supplier to be unacceptable, the SARB may opt to

exercise its right not to award to the highest-scoring supplier in accordance with **paragraph 7.12**.

#### 6.11. *Recommendation of successful supplier*

The CFT will recommend a supplier for appointment by the SARB, pursuant to the completion of both the technical and commercial evaluation (where applicable) of all qualifying proposals received. Recommendations made by the CFT will be considered and approved, or rejected, in accordance with the SARB's Instrument of Delegation of Powers.

### **7. Reservation of rights**

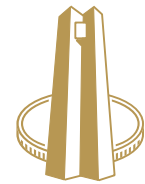
Suppliers must note that the SARB, at its sole discretion, reserves the right to:

- 7.1. Modify the scope of this RFP; change any of the requirements, conditions, procedures or rules set out in this RFP; supplement any information; or amend, vary or supplement any of the SARB's contractual terms, at any time prior to the closing date of the tender. Such amendments and/or additions will only be effective once the SARB has informed suppliers who have indicated their Intention to Respond that an addendum to the RFP has been published on the e-Sourcing portal.
- 7.2. Make no award; partially award the RFP to a successful supplier; withdraw, suspend or cancel the RFP at any time; and/or re-advertise the RFP.
- 7.3. Perform audits on prospective and/or appointed suppliers whenever the SARB deems it necessary or prudent to do so.
- 7.4. Make a conditional award to a supplier, subject to the right to withdraw the award where it becomes apparent that compliance with any stipulated condition(s) will unduly delay the final contract award or commencement of services, delivery of the goods or execution of the work.
- 7.5. During the RFP process, or during a successful supplier's contract term, request the supplier at any time to submit its financial statements to the SARB.
- 7.6. Undertake reference checks on suppliers, which may include information on public record or in the public domain.
- 7.7. Consider the service history of the supplier (including its directors, members or partners) with the SARB and/or any of the other institutions that form part of the SARB Group. In this regard, the SARB reserves the right not to award a contract

pursuant to the RFP to a supplier whose track record (or that of any of its directors, members or partners) with the SARB is unsatisfactory. In such an event, the supplier will be informed accordingly and afforded an opportunity to make representations to the SARB.

- 7.8. No longer consider a supplier's proposal where adverse information about the supplier or its RFP submission has come to the attention of the SARB, in which event the supplier will be informed accordingly and afforded an opportunity to make representations to the SARB.
- 7.9. Disqualify a supplier from participating further in the RFP where such supplier will be subcontracting more than 25% of the value of the contract to a subcontractor with a broad-based black economic empowerment (B-BBEE) level lower than that of the main supplier, where such subcontracting cannot be justified in the circumstances.
- 7.10. Allow all suppliers whose scores are within 10% of any stipulated minimum threshold to proceed to the next phase of evaluation in the event that no suppliers are able to achieve such stipulated minimum threshold.
- 7.11. Withdraw the recommended or provisional award if it determines that the preferred supplier has engaged in corrupt or fraudulent activities in competing for this RFP.
- 7.12. Not award this RFP to the highest-scoring supplier, but instead award the RFP to the supplier who offers the best value for money, or has a technical capability which is significantly higher than that of the supplier who submitted the lowest priced offer, or whose financial capability is considerably better than the supplier who submitted the lowest priced offer, or for any other justifiable reason, including the promotion of objectives related to B-BBEE, or where the level of information risk exposure posed by such supplier to the SARB is significantly lower than that of the highest-scoring supplier.
- 7.13. Issue a Request for Best and Final Offer (RfBAFO) to the two highest-scoring suppliers, or in justifiable circumstances, such as the reasons listed in **paragraph 7.12 above**, to all shortlisted suppliers.
- 7.14. Make the award subject to the successful supplier entering into an agreement with the SARB on such terms and conditions as are acceptable to the SARB.
- 7.15. Refrain from providing a supplier with reasons for being unsuccessful, unless on application as provided for in terms of applicable legislation.

7.16. Nominate a reserve supplier who may be appointed for the rendering of the services or execution of the work in the event that the successful supplier cannot meet all the SARB's stipulated conditions of appointment, alternatively fails to comply with its obligations in terms of the contract concluded with the SARB pursuant to this RFP process.



## **SECTION 3**





### **SCOPE OF TENDER**

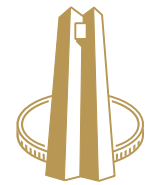
#### **1. Background**

1.1 The South African Reserve Bank (SARB) operates in a challenging environment, dealing with all the changes and advances in the financial industry, technology, and the workplace. The expectations of what ICT can do to benefit the SARB, continues to grow.

1.2 Appropriate information and communication technology (ICT) has been adopted and integrated within the Bank to support its organisational needs. The Business Solutions and Technology Department (BSTD) is responsible for providing ICT services within the Bank, which is achieved through the use of selected technology partnerships

Refer to Annexure 3 for scope of work.

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**SOUTH AFRICAN RESERVE BANK**

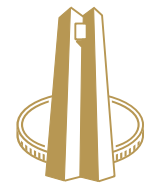
Financial Services Department

## **SECTION 4**

### **BUSINESS REQUIREMENTS/TERMS OF REFERENCE**

[Refer to Annexure 3, attached separately.]

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**SOUTH AFRICAN RESERVE BANK**

Financial Services Department

## **SECTION 5 SPECIFICATIONS**

### **1. Specifications**

| Refer to Annexure 3, attached separately. |



## SECTION 6

### REQUIREMENTS FOR PROPOSALS

#### 1. Instructions to suppliers

- 1.1. Suppliers must structure their proposals in accordance with the requirements set out in **Parts A to E** below and submit their returnable documents in accordance with the filing system prescribed in **paragraph 4.5** in **section 2**.

#### PART A: ADMINISTRATIVE REQUIREMENTS

It is a prerequisite for further participation in the RFP process, for suppliers to furnish the SARB with the information and/or documentation described below. Failure by the supplier to furnish the requested information and/or documentation may result in the supplier's disqualification, subject to the provisions in **paragraph 6.3** in **section 2**. Explanatory notes are provided after the table below.

ADMINISTRATIVE REQUIREMENTS	
1.	Tax clearance certificate/tax clearance PIN. The same information must be provided for each subcontractor.
2.	B-BBEE certificate. The B-BBEE certificate of each subcontractor must also be provided. (Also: complete <b>SRT 5</b> )
3.	Particulars of supplier (complete <b>SRT 2</b> )
4.	Supplier declaration (complete <b>SRT 4</b> )
5.	Undertaking of confidentiality (complete <b>SRT 9</b> )
6.	Registration documents (if a legal entity)/identity document

7.	Company resolution or other document authorising the signatory to submit the tender on behalf of the supplier
----	---

## EXPLANATORY NOTES

### 1. Tax clearance certificate

The supplier and each subcontractor must submit a current, valid tax clearance certificate or, alternatively, a tax clearance PIN issued by the South African Revenue Service (SARS), unless the supplier is foreign-based and has no local office in the Republic of South Africa.

### 2. B-BBEE certificate

The supplier and each subcontractor must submit a current, valid B-BBEE certificate issued by an accredited verification agency, unless the supplier or subcontractor is an exempted micro enterprise or a qualifying small enterprise, in which case the supplier or subcontractor may submit an affidavit in accordance with the Broad-Based Black Economic Empowerment Act: Codes of Good Practice, published in *Government Gazette* No. 36928, unless the supplier is foreign-based and has no local office in the Republic of South Africa. The supplier must also complete **SRT 5**.

### 3. Particulars of supplier

The supplier must complete **SRT 2** in full.

### 4. Supplier declaration

The supplier must complete **SRT 4** in full and sign the document.

### 5. Undertaking of confidentiality

The supplier must complete **SRT 9** in full and sign the document.

### 6. Registration documents

If the supplier is a legal entity, it must furnish copies of its company registration documents (CM 1, 9 and 29 or CK1 and 2). If the supplier is a natural person or a partnership, it must furnish copies of such persons' identity documents.

## 7. Company resolution or other authorisation

The supplier must provide supporting documentation which confirms the authority of its duly authorised representative to submit a proposal on behalf of the supplier in response to this RFP and to sign any documentation on behalf of the supplier. The supplier's duly authorised signatory must further provide a copy of his/her identity document.

## PART B: MANDATORY REQUIREMENTS

Suppliers must comply with all mandatory requirements listed below. Non-compliance with any of the SARB's mandatory requirements may result in disqualification, subject to the provisions contained in **paragraph 6.3 of section 2**.

MANDATORY REQUIREMENTS	
1.	IBM accredited partner certificate.
2.	Microsoft accredited partner certificate.
3.	Redhat accredited partner certificate.
4.	VMWare accredited partner certificate.

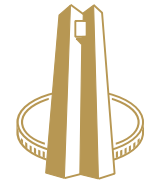
## PART C: TECHNICAL PROPOSAL REQUIREMENTS

Suppliers must provide the information listed below as part of their technical proposals. The requirements below are marked to indicate whether or not the suppliers' responses are required for information purposes only or for purposes of technical evaluation. Suppliers' responses to the requirements below will be evaluated based on the technical evaluation criteria specified in **section 7**. Explanatory notes for each of the requirements are provided after the table below.

**Note: Refer and complete Annexure 3**

## **PART D: COMMERCIAL PROPOSAL REQUIREMENTS**

1. Suppliers must submit their pricing in the manner requested in **SRT 12** (refer to Part 2 of this RFP).
2. The supplier's B-BBEE certificate, submitted along with other documentation required for purposes of meeting the administrative requirements, will be utilised by the SARB for purposes of the B-BBEE component of the commercial evaluation.



## **SECTION 7**

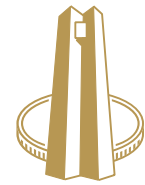
### **EVALUATION CRITERIA**

#### **1. Evaluation of a supplier's technical capability**

**Refer and complete Annexure 3**

#### **2. Evaluation of a supplier's commercial offer**

- 2.1. Pursuant to the completion of the evaluation of all qualifying suppliers' technical proposals (including any further evaluation conducted by the SARB), the SARB will conduct a commercial evaluation (price and B-BBEE) of the supplier's proposal. Where applicable, commercial evaluations will only be conducted on shortlisted suppliers.
- 2.2. In the event of the RFP being issued for purposes of establishing a Panel of Service Providers to the SARB, the SARB may opt to evaluate suppliers' proposals based on technical capability only. Price and B-BBEE will be considered when the need arises to select a suitable supplier to render the services and/or supply the goods.



## SECTION 8

### TENDER EVALUATION METHODOLOGY

- This RFP will be evaluated and scored in accordance with the method selected and the ratios reflected below (where applicable).

TENDER EVALUATION METHODS		
No.	Description	Method selected
2.	Technical capability, price and B-BBEE	X

#### Method 1: Technical capability

This method is utilised to qualify suppliers for a SARB panel. The appointment of a supplier to a SARB panel will be followed by another sourcing process whenever the need arises to select a suitable supplier to render the services or supply the goods, at which stage price and B-BBEE will be considered.

#### Method 2: Technical capability, price and B-BBEE

If this method is selected, a supplier's tender response will be evaluated based on a combination of technical capability, price\* and B-BBEE\* in accordance with the ratios set out below:

TECHNICAL CAPABILITY, PRICE AND B-BBEE	WEIGHTING %
Technical capability	
a. Technical proposal	70
Price	20
B-BBEE	10
<b>TOTAL</b>	<b>100</b>

**\*Note:**

Only suppliers who achieve the minimum threshold stipulated in **section 7** (where applicable) for their technical proposals and/or further evaluations will move on to commercial evaluation (price and B-BBEE).

**2. Price evaluations will be conducted using the following formula:**

$$P_s = x (1 - [(P_t - P_{\min}) / P_{\min}])$$

where:

$P_s$  = points scored for price of the tender under consideration;

$x$  = weighting allocated to pricing for scoring purposes;

$P_t$  = price of the tender under consideration; and

$P_{\min}$  = price of the lowest acceptable tender.

**3. The evaluation and scoring of B-BBEE will be conducted in accordance with the following table:**

<b>B-BBEE status level of contributor</b>	<b>Points (if B-BBEE score is out of 10)</b>	<b>Points (if B-BBEE score is out of 20)</b>
1	10	20
2	9	18
3	6	14
4	5	12
5	4	8
6	3	6
7	2	4
8	1	2
Non-compliant contributor	0	0



## SECTION 9

### TENDER TERMS AND CONDITIONS

#### 1. Formal agreement

- 1.1. Any award made to a supplier pursuant to this RFP will be conditional upon the SARB and the successful supplier entering into an agreement to regulate the contractual relationship between the parties.
- 1.2. In the event that a draft agreement has been included in the RFP pack and the supplier does not accept all the terms and conditions contained in the draft agreement or wishes to negotiate additional and/or alternative terms, the supplier must complete **SRT 10.1** to indicate which terms and conditions it rejects or wants to amend, and propose a specific alternative in appropriate language.
- 1.3. The SARB reserves the right to refuse some or all proposed amendments to the draft agreement.
- 1.4. The rejection or amendment by the supplier of any terms and conditions contained in the draft agreement may increase the risk to the SARB and may thus have a negative impact on the final score allocated to a supplier's proposal.
- 1.5. Where a draft agreement has not been included in the RFP pack, the SARB may issue a Purchase Order (PO) to the supplier, together with the SARB's standard terms and conditions. In the event that a formal, written agreement is concluded between the parties after the issuing of a PO to the supplier, the terms and conditions contained in the formal, written agreement will supersede the PO standard terms and conditions.

#### 2. Contract negotiations

- 2.1. The terms and conditions contained in any draft agreement included in this RFP pack or attached to the supplier's proposal may be varied during the course of negotiations with a supplier for purposes of, among other things, aligning it with

the supplier's proposal, giving better effect to the objectives of this RFP, or to protect the interests of the SARB.

- 2.2. The SARB and the supplier may agree on additional terms and conditions, including but not limited to specific contract activities, service levels, staff needs, logistical arrangements and/or reporting obligations.
- 2.3. Such ancillary terms and conditions may be incorporated in the main agreement concluded between the supplier and the SARB, or in the form of a schedule.
- 2.4. Documents provided by the SARB and/or the supplier in terms of this RFP may be incorporated in the agreement concluded between the supplier and the SARB in the form of an annexure.

### **3. Conflict of interest**

- 3.1. The SARB reserves the right to exclude a proposal submitted in response to this RFP by a supplier who has declared a conflict of interest, from further consideration. If such conflict of interest is not declared in the supplier's response to this RFP and only comes to the attention of the SARB after the conclusion of this RFP process, the SARB may withdraw the award and cancel the contract with immediate effect.

### **4. Tax compliance**

- 4.1. Suppliers who participate in this RFP process must be tax compliant. No contract award will be made to a supplier who is not tax compliant.
- 4.2. The submission of a fraudulent tax clearance certificate by a supplier will result in the supplier's immediate disqualification, the withdrawal of any award made, or the immediate cancellation of such supplier's contract, depending on when the relevant facts are established by the SARB.
- 4.3. Successful suppliers must remain tax compliant for the entire duration of the contract and must furnish the Procurement Division of the SARB with an updated tax clearance certificate when same is due.

### **5. Broad-based black economic empowerment (B-BBEE)**

The submission of a fraudulent B-BBEE certificate by a supplier, or a supplier who knowingly engages in fronting or knowingly misrepresents its B-BBEE status, or provides false information to secure a particular B-BBEE status or

outcome, will result in its disqualification, the withdrawal of any award made or the immediate cancellation of such supplier's contract, depending on when the relevant facts are established by the SARB.

## **6. Confidentiality**

- 6.1. The supplier may not disclose any information of a confidential nature provided to the supplier by the SARB during the course of this RFP process.
- 6.2. All reasonable efforts must be made by the supplier to ensure the safeguarding of information marked as confidential or information that must be regarded as confidential if, by its nature, content or circumstances of disclosure of the information is or ought reasonably to have been identified by the supplier as confidential or proprietary in nature, including by reason of such information not being generally known to, or readily ascertainable by, third parties generally.
- 6.3. This RFP document may not be used by the any person for any purpose other than for developing a response thereto.
- 6.4. The supplier must provide the SARB with an 'Undertaking of confidentiality' in the format contained in **SRT 9**, as part of its tender proposal.
- 6.5. The SARB will keep confidential information, marked by the supplier as such, strictly confidential.

## **7. Security and vetting**

- 7.1. Employees of successful suppliers, including agents, assigns, contractors, subcontractors and independent contractors appointed by the supplier (hereinafter referred to as 'employees') may be subjected to the SARB's personnel security vetting processes, in accordance with the SARB's Security Vetting Policy.
- 7.2. The SARB's Group Project Security Management and Personnel Security Vetting Process applicable to all SARB suppliers is set out in **Annexure 1**.
- 7.3. At all relevant times, the successful supplier's appointment will be conditional upon both the successful supplier and its employees achieving successful security clearances (where required).
- 7.4. Failure to achieve the necessary security clearances, or in the event that the supplier or any of its Employees are found to be a security risk, will entitle the SARB to withdraw the award.

## 8. Responsibility for personnel

Suppliers are responsible for ensuring that their personnel (including employees, advisers, officers, directors and other representatives) comply with all terms and conditions of this RFP.

## 9. Key personnel

- 9.1. The successful supplier will ensure that all key personnel proposed in **SRT 6** form part of the supplier's team responsible for executing the work. Substitution of key personnel will not be allowed, unless the SARB's prior written consent (which will only be granted in exceptional circumstances) has been obtained.
- 9.2. The SARB reserves the right to withdraw any award made or immediately cancel a supplier's contract, if it is unable to make available the key personnel evaluated as part of its tender response for purposes of executing the work.

## 10. Subcontractors

- 10.1. A supplier is obliged to disclose in its proposal if and to what extent it will be utilising the services of a subcontractor(s) to deliver the goods and/or render the services to the SARB.
- 10.2. A supplier must complete **SRT 3** for each of its subcontractors.
- 10.3. Where a supplier has not identified any specific subcontractor required to execute a portion of the work at the closing date for RFP responses, the supplier must provide the following information on **SRT 3**:
  - a. details of the work which will be performed by the subcontractor;
  - b. the percentage of the contract which will be executed by the subcontractor; and
  - c. the value of the contract which will be executed by the subcontractor.
- 10.4. A supplier who intends to utilise the services of a subcontractor(s) must ensure that such subcontractor(s) is in possession of a valid tax clearance certificate and B-BBEE certificate, and submit same as part of its proposal. Where the supplier only nominates a subcontractor after being awarded the RFP, the supplier must provide the SARB with the requisite documentation before the contract commences. The SARB reserves the right to withdraw any award made or

immediately cancel a supplier's contract, if the supplier is unable or fails to provide the SARB with the requisite subcontractor information.

- 10.5. The supplier is responsible for ensuring the subcontractor(s) complies with all the terms and conditions of this RFP as well as with the terms and conditions contained in any agreement entered into between the supplier and the SARB, pursuant to the conclusion of this RFP process.
- 10.6. In the event that a supplier makes use of a subcontractor(s), such subcontractor(s) will at all times remain the responsibility of the supplier and the SARB will not under any circumstances be liable for any losses or damages incurred by or caused by such subcontractor(s).
- 10.7. If required by the SARB, the supplier must ensure that its subcontractor(s) complies with the security and vetting requirements set out in **Annexure 1**.
- 10.8. The SARB reserves the right, during the contract term, to pay a subcontractor who executed any of the supplier's obligations directly, and such amount will be deducted from any amount due by the SARB to the supplier.

## **11. Intellectual property**

The SARB retains ownership of all intellectual property rights in the documents that form part of this RFP. Suppliers will retain intellectual property rights in their proposals, but grant the SARB the right to make copies of, alter, modify or adapt their proposals or to do anything which in its sole discretion is necessary to do for reasons relating to this RFP process.

## **12. Indemnity**

If a supplier breaches the conditions of this RFP and, as a result of that breach, the SARB incurs costs or suffers any damage or loss (including without limitation the cost of any investigations, re-advertising of this RFP, and enforcement of intellectual property rights or confidentiality obligations), then the supplier indemnifies and holds the SARB harmless from any and all such costs which the SARB may incur and for any damages or losses the SARB may suffer.

## **13. Limitation of liability**

A supplier participates in this RFP process entirely at its own risk and cost. The SARB will not be liable to compensate a supplier on any grounds whatsoever for

any costs incurred or any damages suffered as a result of the supplier's participation in this RFP process.

#### **14. Poor performance**

- 14.1. The SARB reserves the right to refrain from doing business with (and therefore not evaluate proposals submitted by) suppliers who have previously been appointed to deliver goods or render services to the SARB but who failed to:
- a. adhere to the agreed service levels;
  - b. deliver work of a satisfactory standard or quality;
  - c. complete projects on time; or
  - d. operate within the preferred and agreed-upon budget.

#### **15. Blacklisted suppliers**

- 15.1. Suppliers whose names (or any of their directors, members or partners) appear on the Register of Tender Defaulters kept by National Treasury as well as suppliers who have been placed on National Treasury's Database of Restricted Suppliers may not participate in this RFP.
- 15.2. The SARB reserves the right to withdraw an award or immediately cancel a contract concluded with a supplier should it be established, at any time, that such supplier has been blacklisted with National Treasury.

#### **16. Unethical conduct**

- 16.1. Suppliers may not offer any gratification, or anything of value to a SARB employee, adviser, director or other representative for purposes of influencing such employee, adviser, director or other representative before, during or after this RFP process.
- 16.2. Suppliers must refrain from abusing the SARB's procurement system and engaging in collusive tendering or anti-competitive practices.
- 16.3. Suppliers may not participate in this RFP process if they or any of their directors, members or partners have been convicted in a court of law of fraud, theft, forgery and/or corruption.
- 16.4. Suppliers who engage in unethical conduct will be disqualified. In the event that such unethical conduct only comes to the attention of the SARB after the SARB

has already made an award or entered into an agreement with the supplier, the SARB may withdraw the award or cancel the agreement with immediate effect.

## **17. Misrepresentation**

The SARB may disqualify a supplier who submits a proposal that contains a misrepresentation which is materially incorrect or misleading. In the event that such misrepresentation only comes to the attention of the SARB after the SARB has already made an award or entered into an agreement with the supplier, the SARB may withdraw the award or cancel the agreement with immediate effect.

## **18. Compliance with POPIA**

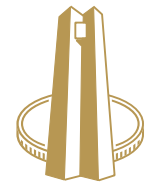
- 18.1. Suppliers will comply with the prescripts contained in POPIA (or any other applicable data protection legislation) when processing personal information (as defined in POPIA) of the SARB as part of their participation in this RFP process.
- 18.2. Suppliers will indemnify the SARB against any claims, losses or damages that may arise from a supplier's non-compliance with POPIA or any other applicable data protection legislation.

## **19. Precedence**

This RFP document will prevail over any information provided during any briefing session, whether oral or written, or during any exchange of questions and answers between any suppliers and the SARB, unless it is expressly stated in writing that the information so provided is intended to amend this RFP document.

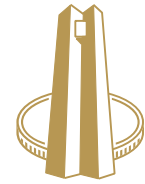
## **20. Governing law**

South African law governs this RFP. The supplier agrees to submit to the exclusive jurisdiction of the South African courts in any dispute of any kind that may arise out of or in connection with this RFP, the subject matter of this RFP, or any processes related to this RFP.



## SECTION 10 ANNEXURES

NO.	LIST OF ANNEXURES	INCLUDED HEREIN	SEPARATE ATTACHMENT
1.	Group project security management and personnel security vetting process applicable to all SARB suppliers	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2.	Standard contract template: Service agreement template	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3.	Scope of work, Specifications, Requirements and supplier response template	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4.	Technical evaluation criteria	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5.	ReadMe about Servers Backups and Disaster Recovery Services	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6.	Commercial Proposal template	<input type="checkbox"/>	<input checked="" type="checkbox"/>



## ANNEXURE 1

### GROUP PROJECT SECURITY MANAGEMENT AND PERSONNEL SECURITY VETTING PROCESS APPLICABLE TO ALL SARB SUPPLIERS

#### Requirement for the security vetting of suppliers

The South African Reserve Bank (SARB) is an organ of state as well as a national key point. The SARB's Group Security Management Department (GSMD) has a Personnel Security Vetting Unit that functions under the oversight of the State Security Agency (SSA). The SSA has the national mandate to conduct security vetting.

Section 2A(1) of the National Strategic Intelligence Act 39 of 1994, as amended, reads as follows:

- 2A. (1) *The relevant members of the National Intelligence Structures may conduct a security screening investigation to determine the security competence of a person if such a person-*
- (a) ...
  - (b) *is rendering a service or has given notice of intention to render a service to an organ of state, which service may-*
    - (i) *give him or her access to classified information and intelligence in the possession of the organ of state; or*
    - (ii) *give him or her access to areas designated national key points in terms of the National Key Points Act, 1980 (Act No. 102 of 1980). [Sub-s. (1) Amended by s. 2 (a) of Act No. 52 of 2003.]*

#### Types of screening and vetting classifications

The project/services, supplier and supplier's personnel will be classified in accordance with the SARB's information classification policy, based on whether or not access is required by the supplier to the SARB's systems and premises.

Some suppliers may only need to undergo screening, whereas in other cases a supplier may need to obtain a full security clearance.

Different types of screening or security clearances which may be required include:

### 1. *Contract worker screening*

This type of screening is valid for one (1) year. The applicant must complete a one-page indemnity form, have his/her fingerprints scanned and rolled, and submit a certified copy of his/her identity document (ID).

### 2. *Pre-service screening*

This type of screening is valid for a period of three (3) years or for the duration of the contract, whichever period expires first. The applicant must complete a five-page form, have his/her fingerprints scanned and rolled, and submit a certified copy of his/her ID.

### 3. *Confidential clearance*

This type of clearance is valid for a period of ten (10) years or for the duration of the contract, whichever period expires first. The applicant must complete the MP1451 (blue) form and submit all supporting documents specified in the form.

### 4. *Secret and top secret clearance*

This type of clearance is valid for five (5) years but is rarely required for suppliers.

## **Re-vetting**

Re-vetting may be conducted by the SARB at any time. If re-vetting is required, the supplier must submit all the necessary documents to the SARB again.

Re-vetting may be required in the following circumstances:

- when a clearance has expired;
- if a supplier's contract had previously been terminated;
- whenever a new project/service commences; or
- whenever a person's security competence changes.

## **Dual and foreign nationals**

In the event where a dual citizen or foreign national with scarce skills will be utilised on a project or to render services to the SARB, the Governor of the SARB must approve such person's appointment.

Dual citizens and foreign nationals are also required to complete the applicable security clearance questionnaire and submit all required accompanying documentation.

Persons who hold dual citizenship or are foreign nationals will not be issued with a security clearance, as per a directive from the SSA.

### **Turnaround time for security vetting**

Upon receipt of all required documentation, GSMD may issue an interim security clearance within ten (10) working days.

Once the interim clearance has been issued, access will be granted to the SARB's premises.

Persons who do not have a suitable clearance will not be allowed on the SARB's premises.